

Freedom of Information Request

Reference Number: EPUT.FOI.21.2026

Date Received: 29th May 2021

Information Requested:

The High Intensity Network organisation (<https://highintensitynetwork.org>) has publicly identified your trust as a provider of the Serenity Integrated Model (SIM) for patients with high-intensity crisis use. I am therefore seeking any and all information (including related correspondence, documentation or other supporting data that is eligible for disclosure under the Freedom of Information Act) held relating to the following:

1. Business cases submitted to support the introduction of the SIM (SHIFT SE Essex Service): EPUT is not the originator of the documents requested. They were shared through South East Essex CCG. Therefore may we suggest you contact the CCG for copies of the documents:

Access to Information Team
Phoenix Place
Christopher Martin Road
Basildon
Essex, SS14 3HG
foi.meccg@nhs.net

Mid Essex SIM: No business case available (Service was initially set up as a pilot)

2. Any impact assessments conducted (equality impact assessments, quality impact assessments, and any other types undertaken) in support of SIM
Please see attached. Staff names have been redacted as the Trust considers staff names to be personal information which is exempt under Section 40 (Personal Information) of the Act
3. Any risk assessments conducted prior to the introduction of SIM
No evidence found for both (SHIFT) (SE Essex Service) and Mid Essex SIM
4. Any statistical information relating to evaluations of treatment outcomes for patients treated under SIM.
(SHIFT) (SE Essex Service): please see response to Question 1

Mid Essex SIM: No Information available. From EPUT Perspective Service is being delivered through existing community mental health resource

Applied Exemption:

Section 40 (Personal information):

- (1) Any information to which a request for information relates is exempt information if it constitutes personal data of which the applicant is the data subject.
 - (2) Any information to which a request for information relates is also exempt information if—
 - (a) it constitutes personal data which do not fall within subsection (1), and
 - (b) either the first or the second condition below is satisfied.
 - (3) The first condition is—
 - (a) in a case where the information falls within any of paragraphs (a) to (d) of the definition of “data” in section 1(1) of the Data Protection Act 2018, that the disclosure of the information to a member of the public otherwise than under this Act would contravene—
 - (i) any of the data protection principles, or
 - (ii) section 10 of that Act (right to prevent processing likely to cause damage or distress), and
 - (b) in any other case, that the disclosure of the information to a member of the public otherwise than under this Act would contravene any of the data protection principles if the exemptions in section 33A(1) of the Data Protection Act 2018 (which relate to manual data held by public authorities) were disregarded.
 - (4) The second condition is that by virtue of any provision of Part IV of the Data Protection Act 2018 the information is exempt from section 7(1)(c) of that Act (data subject’s right of access to personal data).
 - (5) The duty to confirm or deny—
 - (a) does not arise in relation to information which is (or if it were held by the public authority would be) exempt information by virtue of subsection (1), and
 - (b) does not arise in relation to other information if or to the extent that either—
 - (i) the giving to a member of the public of the confirmation or denial that would have to be given to comply with section 1(1)(a) would (apart from this Act) contravene any of the data protection principles or section 10 of the Data Protection Act 2018 or would do so if the exemptions in section 33A(1) of that Act were disregarded, or
 - (ii) by virtue of any provision of Part IV of the Data Protection Act 2018 the information is exempt from section 7(1)(a) of that Act (data subject’s right to be informed whether personal data being processed).
 - (6) In determining for the purposes of this section whether anything done before 24th October 2007 would contravene any of the data protection principles, the exemptions in Part III of Schedule 8 to the Data Protection Act 2018 shall be disregarded.
 - (7) In this section— “the data protection principles” means the principles set out in Part I of Schedule 1 to the Data Protection Act 2018, as read subject to Part II of that Schedule and section 27(1) of that Act;
 - “data subject” has the same meaning as in section 1(1) of that Act;
 - “personal data” has the same meaning as in section 1(1) of that Act
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Publication Scheme:

As part of the Freedom of Information Act all public organisations are required to proactively publish certain classes of information on a Publication Scheme. A publication scheme is a guide to the information that is held by the organisation. EPUT's Publication Scheme is located on its Website at the following link <https://eput.nhs.uk>

PRIVACY IMPACT ASSESSMENT

Screening Question Tool

Privacy Impact Assessment Screening Questions.

The Essex Partnership University NHS Foundation Trust's (EPUT) data processing activity MUST comply with the Data Protection Act (1998).

This section of the Privacy Impact Assessment will provide guidance for evaluating whether a full scale PIA should be conducted. This form will assist Information Asset Owners in identifying how the collection of people's personal information may affect their privacy.

In this evaluation process you are required to answer the following set of screening questions relating to the key characteristics of the project and the system that the project will deliver. Answers to the questions need to be considered as a whole, in order to determine whether the overall impact and related risk warrant a full scale PIA.

Team name:	SMHT	
Project Name:	SIM	
Description of Project:	SIM (serenity Integrated Mentoring) is an award-winning mentoring programme for service users struggling to cope with highly intensive patterns of behaviour. SIM trains a police officer passionate about mental health in high intensity behaviour, risk management to work in their local community mental health team to assist with clinical and risk management of the most challenging cases. This helps the multi-dimensional team combine clinical, risk and behavioural management skills to predict and prevent high intensity calls and to encourage the service user towards more consistent and healthy coping strategies. This can eliminate crisis calls and other high risk events.	
Contact Details:	John McGrath	
Please state if this is a New Process/system/change/upgrade to existing system?		

Questions:		Yes (✓)	No(✓)
1)	Will the project involve the collection of new/additional information about individuals? If yes, please list the information / data items.		✓
2)	Will individuals be required to provide information about themselves?		✓
3)	Could the project function and work if the data was to be anonymised?	✓	
4)	Will individual's data be disclosed to organisations or people who have not previously had routine access?		✓

5)	Will individual's data be used for purposes it is not currently used for?		✓
6)	Does the project involve changing the way person identifiable information is held and processed?		✓
7)	Does the project involve you using new technology which might be perceived as being privacy intrusive i.e. the use of cookies (a software application) to download personal information whilst using the software / mobile devices to record conversations?		✓
8)	Will the project require you to contact individuals in a way they might find intrusive i.e. cold calling, telemarketing, identifiable voicemails etc?		✓
9)	If the project involves you contacting individuals and changing the way data is held, are individuals made aware?	N/A	
10)	Has consent been gained from the individual and appropriately recorded for future reference?	✓	

The lead officer for the project / system / process / hardware / software implementation / change should complete the following:

As lead officer I confirm that the information recorded on this form is to the best of my knowledge an accurate and complete assessment of the potential privacy impacts of this project:

NAME	DESIGNATION	SIGNATURE	DATE
John McGrath	Clinical Manager		15 th May 2018

FOR USE BY THE INFORMATION GOVERNANCE TEAM AND APPROVING PERSON / GROUP / COMMITTEE)

The completed form should be passed to the Information Governance Team for comments / recommendations then forwarded to the appropriate Trust committee for approval / action planning.

Privacy Impact Assessment reviewed and approved on behalf of the IG Team:

NAME	DESIGNATION	SIGNATURE	DATE
Alice Williams	Information Governance Manager		

Privacy Impact Assessment reviewed and approved on behalf of the Information Governance Group / Caldicott Network:

NAME	DESIGNATION	SIGNATURE	DATE

